



University of Notre Dame Institutional Animal Care and Use Committee (IACUC) Policy	
Title: Regulatory and Accrediting Body Reporting	
Effective Date: 7/10/24	Last Revised Date: 7/10/24

I. Purpose

Federal agencies overseeing animal research activities require specific information about the status of animal research at the University of Notre Dame.

II. Definitions

Minority view: A dissenting opinion of one or a minority of the IACUC regarding any aspect of the animal program, facilities, or personnel training that is up for approval. These can be included during semiannual evaluation, directly to the Institutional Official or OLAW, or raised during regular IACUC business. A dissenting vote during IACUC meetings is not presumed to constitute a minority view unless expressed as such.

III. Policy

1. Internal Reporting

- a. The IACUC is required to report the following to the University's Institutional Official:
 - i. A report containing the findings of each IACUC semiannual program review and facility inspection, including minority views

2. External Reporting

The IACUC, through the Institutional Official, is required to:

- a. Promptly provide the Office of Laboratory Animal Welfare (OLAW) a full explanation of the circumstances and actions taken for any:
 - i. serious or continuing noncompliance with the PHS Policy
 - ii. serious deviation from provisions of the Guide for the Care and Use of Laboratory Animals
 - iii. suspension of an activity by the IACUC.
- b. Report to OLAW at least once every twelve months any of the following:

- i. any change in the institution's program or facilities that would place the institution in a different category than specified in its Assurance
 - ii. any change in the description of the institution's program for animal care and use
 - iii. any change in the IACUC membership
 - iv. notice that no changes described in (a) - (c) have occurred
 - v. notice of the dates that the IACUC conducted its semiannual evaluations of the institution's program and facilities and submitted the evaluations to the Institutional Official
 - vi. any minority views, either raised as a recommendation to the Institutional Official or recorded during semiannual evaluation
 - c. Report to United States Department of Agriculture:
 - i. any change in the name, address, ownership, or other change in operations affecting the status of the University as a research facility within ten (10) days of the change
 - ii. suspension of an activity by the IACUC
 - iii. an uncorrected significant deficiency from a semiannual inspection
 - iv. a complete annual report describing covered animal activities at the University.
- 3. The Attending Veterinarian, following consultation with the Institutional Official under the University's Care and Use of Vertebrate Animals in Research and Education Policy, shall:
 - a. Report to AAALAC:
 - i. Promptly, any significant, unexpected adverse event resulting in negative consequences to animal welfare or human health, including, but not limited to:
 1. Inadequate veterinary care
 2. Conditions that resulted in unexpected animal harm or deaths (e.g., accidents or errors, equipment failures, natural disasters)
 3. Significant animal rights activities (e.g., protests, property damage)
 4. Inappropriate euthanasia techniques or failure to confirm euthanasia
 5. Substantiated complaints or reports regarding animal welfare concerns
 - ii. Annually, a description of the elements of the animal care and use program, including any changes to it.

IV. References

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1. PHS Policy on Humane Care and Use of Laboratory Animals, IV (B)(3)(a)-(e); 9 CFR §2.31(c)(3)
 2. PHS Policy on Humane Care and Use of Laboratory Animals, IV (F)(3)(a)-(c)
 3. 6. 9 CFR §2.30 (c)(1)

4. 7.9 CFR §2.31 (d)(7)
5. 8.9 CFR §2.31 (c)(3)
6. 9.9 CFR §2.36 (a)