SUBRECIPIENT STATEMENT OF INTENT

UNIVERSITY OF NOTRE DAME | RESEARCH

Clear Form

Notre Dame PI: ID #:

Prime Sponsor: Central Email: researc2@nd.edu

Opportunity ID: Anticipated Project Period -

Project Title:

SECTION A. SUBRECIPIENT INFORMATION

Subrecipient Legal Name: UEI:

Does the organization participate in the FDP Clearinghouse: ☐ YES ☐ NO EIN/TIN:

Performance Site Address (location of work): Total Proposed Amount:

F&A Rate Applied:

Cost Share committed by Subrecipient

Performance Site ZIP+4: ☐ None ☐ Voluntary ☐ Mandatory

Performance Site Congressional District: Cost Share Amount:

Research Involves: Human Subjects: ☐ YES ☐ NO Other restricted research (if yes explain below): ☐ YES ☐ NO

Vertebrate Animals: ☐ YES ☐ NO

PROGRAM DIRECTOR/PRINCIPAL INVESTIGATOR (PD/PI)

First Name: MI: Last Name:

Title: Phone:

Email: eRA Commons Username:

ADMINISTRATIVE CONTACT

Name:

Email:

Phone:

FINANCIAL CONTACT

Name

Email:

Phone:

Central email for sponsored programs/grants office (if applicable):

SECTION B. ATTACHMENTS

The following documents are attached and incorporated into this Statement of Intent:

(Required Attachments)

◯ Scope of Work (SOW) ◯ Detailed Budget ◯ Budget Justification

◯ Other:

SECTION C. SIGNATURE BY AUTHORIZED ORGANIZATION REPRESENTATIVE (AOR)

At the time of submission, the appropriate programmatic and administrative personnel of the Subrecipient involved in this application are aware of the prime awarding agency's policies and other regulatory requirements applicable to institutions and investigators, agree to accept the obligation to comply with award terms, conditions, certifications, and required trainings, and are prepared to establish the necessary inter-institutional agreement consistent with these obligations. Any terms or rates included in the proposal described herein are not binding upon the Pass-Though Entity. All terms and conditions between the parties will be outlined in a separate formal Agreement. I certify to the best of my knowledge and belief that the information provided herein is true, complete, and accurate. I am aware that the provision of false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil, or administrative consequences including, but not limited to violations of U.S. Code Title 18, Sections 2, 1001, 1343 and Title 31, Sections 3729-3730 and 3801-3812.

Signature: Date:

Signed by Authorized Organization Representative (AOR)

Email:

Name:

Phone:

Title:

[Entities that are not in the FDP Clearinghouse must complete pgs 2-3]

CERTIFICATIONS

FACILITIES & ADMINISTRATION RATES included in this proposal have been calculated based on the following:

☐ Our federally negotiated F&A rate for this type of work. Provide a copy of your federally negotiated rate agreement or the URL below.

☐ No federal negotiated rate and we hereby agree to accept the federal de minimis rate.

☐ A reduced F&A rate dictated by the prime sponsor that we hereby agree to accept.

Rate: Base:

☐ Not applicable (no indirect costs are requested). If checked, please specify rationale in Comment Section below.

URL for F&A rate agreement or Other Comments:

FRINGE BENEFIT RATES included in this proposal have been calculated based on the following:

☐ Our federally negotiated fringe benifit rate for this type of work. Provide a copy of your federally negotiated fringe benefit rates or the URL

☐ Other rates. Provide a copy of your other appoved fringe benefit rates or the URL below:

FINANCIAL CONFLICT OF INTEREST

☐ Subrecipient has an active and enforced policy on conflict of interest consistent with the sponsor's requirements.

☐ Subrecipient does not have an active and/or enforced conflict of interest policy and hereby agrees to Notre Dame's policy.

DEBARMENT, SUSPENSION, PROPOSED DEBARMENT

☐ YES ☐ NO Are the subrecipient organization or any of its principals who are participating in this project debarred, suspended, proposed for debarment, declared ineligible, voluntarily excluded from receiving funds from any federal department or agency, or delinquent on any federal debt?

If YES, explain in the Comment Section below.
If No, the Organization Certifies it (answer all questions below):

☐ IS ☐ IS NOT presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal contracts.

☐ IS ☐ IS NOT presently indicted for, or otherwise criminally or civilly charged by a government agency.

☐ HAS ☐ HAS NOT within three (3) years preceding this offer, been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commissions of contract or subcontract; violation of Federal or state antitrust statutes relating to the submissions of offers; or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false statements or receiving stolen property.

☐ HAS ☐ HAS NOT within three (3) years preceding this offer, had one or more contracts terminated for default by any federal agency.

CERTIFICATION OF COMPLIANCE WITH SPONSOR REQUIREMENTS

All Federal Sponsors: Research Security Training

Subrecipient certifies, as applicable, that all key personnel and covered personnel have completed federally required research security training consistent with Section 10634 of the CHIPS and Science Act of 2022 and that the subrecipient will maintain sufficient records of their compliance with this requirement.

All Federal Sponsors: Malign Foreign Talent Recruitment Program

Subrecipient certifies individuals identified as senior/key personnel have been made aware of and have complied with their responsibility under both the William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021, Section 223(a)(1) (42 U.S.C. § 6605(a)(1)) and Section 10632 of the CHIPS and Science Act of 2022 (42 U.S.C. § 19232) and certify they are not a party to a Malign Foreign Talent Recruitment Program.

NSF only; subrecipient certifies compliance with the following:

Subrecipient certifies it has implemented trainings, in addition to maintaining a written and enforced policy, on requirements for the disclosure of other support NOT-OD-25-133

AUDIT STATUS

☐ YES ☐ NO Was the subrecipient required to conduct an annual audit in accordance with the Single Audit Act or `Uniform Guidance Subpart F, Audit Requirements for the most recent audit year?

If **YES**, provide a URL or a complete copy of subrecipient's most recent audit report must be furnished to Notre Dame before a subaward will be issued. If no audit was completed OR if the subrecipient is not subject to the Single Audit Act or Uniform Guidance, a limited-scope audit will be reviewed and approved before a subaward can be issued.
URL for most recent audit report or Other Comments:

☐ YES ☐ NO Was there any audit finding reported? If Yes, clarify in the comment section below.
URL for most recent audit report or Other Comments

SUBRECIPIENT INSTITUTIONAL INFORMATION

☐ YES ☐ NO Is the subrecipient currently registered in the Central Contractor Registration (CCR) through System for Award Management (SAM)?

If **NO**, organizations that have not registered with CCR will need to obtain a Unique Entity Identifier (UEI) number first and then access the CCR online registration through the SAM home page (U.S. organizations will also need to provide an Employer Identification Number from the Internal Revenue Service that may take an additional 2-5 weeks to become active). Completing and submitting the registration takes approximately one hour, and your CCR registration may take 3-5 business days to process. Subrecipient must maintain current CCR information in SAM.

COMMENTS